

THE SIX RISK REVIEWS, CONTINUED

Reviews four through six. Same test: ask the question, name the control.

4 COMPLIANCE

Which regime applies, and can we prove we met it?

THE CONTROL Your vendor or internal AI oversight officer maps the regime; you keep the audit trail that proves it.

5 THIRD-PARTY RISK

What is our model vendor’s posture?

THE CONTROL The Data Processing Agreement, the sub-processor list, and the vendor’s own security and data-handling commitments.

6 RESPONSIBLE AI

Is it fair, transparent, and is a human accountable?

THE CONTROL Bias testing, disclosure, and a named human who owns what the system does. The ethics, operationalized.

Classified at Gate 1, cleared for production at Gate 2, all six cleared at scale by Gate 3. None is a technical exercise — each is a question with a named owner.